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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450



#### PATENT FILING TRANSMITTAL

Transmitted herewith for filing is the Patent Application of: Joseph L. Hellerstein, Alexander Keller, Vijaya Krishnan, Joel L. Wolf and Kun-Lung Wu

For:

METHODS AND ARRANGEMENTS FOR PLANNING AND SCHEDULING

CHANGE MANAGEMENT REQUESTS IN COMPUTING SYSTEMS

which is to be assigned to IBM Corporation, Armonk, New York, and who should be listed on any patent application publication as the Assignee of this application.

#### TYPE OF FILING

	THEOFFICING							
This ne	w patent application is for a(n):							
[X] [] [] [] []	Utility Design Plant Divisional Continuation Continuation-in-part							
Benefit	of a prior filed application							
[]	This application claims the benefit of an earlier filed U.S. Patent Application under 35 USC 120. Please accord Applicant the benefit of the priority date of to this case pursuant to 35 USC 119. Applicant's claim for priority is based on application filed in on that date.							
Filing u	under 37 CFR 1.53 (Utility) or 37 CFR 1.153 (Design)							
[X] [X]	This is an application filed pursuant to 37 CFR 1.53 or 37 CFR 1.153, permitting receipt of a filing date upon filing of a specification, at least one claim and necessary drawings.  In the event any parts of this application are incomplete, please treat this as a filing under 37 CFR 1.53 or 37 CFR 1.153.							
ENCLOSURES								
[X] [X] [X] [X] [X] and [X]	48 - pages of written description; 5 - pages of claims; 1 - pages of abstract; sheets of formal drawings; X - sheets of informal drawings; Declaration and Power of Attorney or listing of inventors;  Two postcards for return to us as proof of receipt of the above documents.							
[**]								

mlua									
plus [X]	An Assignment of the invention to IBM Corporation and an Assignment cover sheet;								
[]	Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))								
[].	Form PTO-1449 (IDS) and two copies of the references listed thereon;								
[]	A certified copy of (country) patent application number (priority document).								
[]	A preliminary amendment;								
[]	Declaration of Biological Deposit;								
	Submission of sequence listing, computer readable copy and/or amendment relating thereto for biotechnology invention containing nucleotide and/or amino acid sequence;								
[]	An associate power of attorney;								
	Other.								
	DECLARATION OR OATH								
The en	closed Declaration or Oath has been executed by:								
[X]	Inventor(s);								
	Legal representative of the inventors (37 CFR 1.42 or 1.43);								
[]	Joint inventor or person showing proprietary interest on behalf of an inventor who refused to sign or who cannot be reached and this is a petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is attached;								
[]	Has not been executed and is enclosed for the purposes of identifying the inventors.								
	INVENTORSHIP STATEMENT								
The in	ventorship for all the claims in this application is:								
[X]	the same;								
[]	not the same and, as an explanation, a statement is/ will be submitted.								
	LANGUAGE								
The ap	plication submitted herewith is:								
[X]	in English;								
[]	in not in English and in terms of 37 CFR 1.52(d) a verified translation is								
	[] attached								
	[] not attached.								

## FEE CALCULATION

The filing fee has been calculated as shown below:

			OTHER THA	AN A
	SMALL ENT	TTY OR	SMALL EN	rity
BASIC FEE Design Patent	\$170	\$	\$340	\$
BASIC FEE Utility Patent	\$385	\$	\$770	\$770
EXTRA FEES	RATE	FEE	RATE	FEE
TOTAL CLAIMS 23 MINUS 20= 3	x 9=	\$0	x18=	\$54
INDEP.CLAIMS $3$ MINUS $3 = 0$	x 43=	<b>\$0</b>	x86=	\$
[ ] MULTIPLE DEP.CLAIM	+145=	\$	+290=	\$
[X] ASSIGNMENT	+ 40=	\$	+40=	\$40
[] RULE 53 SURCHARGE	+ 65=	\$	+130=	\$
TOTAL		<u>\$</u>		<u>\$864</u>

(Oct. 2003 Fees) Page 2

### **FEE PAYMENT**

[]	Attached is Check No	in the sum of \$	to cover the filing	fee and, i	if applicable,	the
	assignment fee.					

[X] Please charge **IBM Corporation Deposit Account No. 50-0510** the amount of \$864, to cover the filing fee. Duplicate copies of this letter are enclosed. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or credit **Deposit Account No. 50-0510** as required to correct the error.

Respectfully submitted,

Stanley D. Ference III

Reg No 33 879

FERENCE & ASSOCIATES 400 Broad Street Pittsburgh, Pennsylvania 15143 (412) 741-8400 (412) 741-9292-Facsimile

Dated: February 27, 2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

: Hellerstein et al.

Group Art: not yet assigned Examiner: not yet assigned

Serial No.

: not yet assigned

: herewith

Filed For

: METHODS AND ARRANGEMENTS FOR PLANNING AND

SCHEDULING CHANGE MANAGEMENT REQUESTS IN COMPUTING

**SYSTEMS** 

### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. EV331365565US

Date of Deposit 27 February 2004

I hereby certify that the following attached paper(s) or fee:

Patent Application

Written Description

Claims 1-23 Abstract

Drawings (Figs. 1-7)

Declaration and Power of Attorney

Assignment with (duplicate) Recordation Form Cover Sheet

Patent Filing Transmittal (in duplicate)

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are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Stanley D. Ference III

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